

Tolerance-conferring defensive symbionts and the evolution of parasite virulence

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Abstract

Defensive symbionts in the host microbiome can confer protection from infection or reduce the harms of being infected by a parasite. Defensive symbionts are therefore promising agents of biocontrol that could be used to control or ameliorate the impact of infectious diseases. Previous theory has shown how symbionts can evolve along the parasitism–mutualism continuum to confer greater or lesser protection to their hosts and in turn how hosts may coevolve with their symbionts to potentially form a mutualistic relationship. However, the consequences of introducing a defensive symbiont for parasite evolution and how the symbiont may coevolve with the parasite have received relatively little theoretical attention. Here, we investigate the ecological and evolutionary implications of introducing a tolerance-conferring defensive symbiont into an established host–parasite system. We show that while the defensive symbiont may initially have a positive impact on the host population, parasite and symbiont evolution tend to have a net negative effect on the host population in the long term. This is because the introduction of the defensive symbiont always selects for an increase in parasite virulence and may cause diversification into high- and low-virulence strains. Even if the symbiont experiences selection for greater host protection, this simply increases selection for virulence in the parasite, resulting in a net negative effect on the host population. Our results therefore suggest that tolerance-conferring defensive symbionts may be poor biocontrol agents for population-level infectious disease control.

Keywords: defensive symbiosis, mutualism, parasitism, biocontrol, coevolution, tolerance

Lay Summary

Defensive symbionts—microbes that confer protection to a host against a harmful parasite—are found throughout the natural world and represent promising candidates for biological control to combat infectious diseases. Symbionts can protect their hosts through a variety of mechanisms that may prevent infection (resistance) or increase survival following infection (tolerance), yet our understanding of the ecological and evolutionary impact of defensive symbionts on parasites is limited. Moreover, few theoretical predictions exist for how defensive symbionts are likely to evolve in the presence of parasites and for the net effect on the host population. Using a mathematical model where defensive symbionts reduce parasite virulence (harm to the host), we investigate the impact of their introduction on the evolution of parasite virulence, how selection increases or decreases host protection, and whether such symbionts are beneficial for the host population. We find that this form of defensive symbiosis always selects for higher parasite virulence and that it can cause the parasite to diversify into high- and low-virulence strains which specialize on different host subpopulations. Crucially, we show that the introduction of a defensive symbiont will always lead to a long-term reduction in host population size even if they are beneficial in the short term. Together, our results show that defensive symbionts can have a strong impact on the evolution of virulence and that this form of host protection is not robust, indicating that tolerance-conferring symbionts are likely to be poor candidates for biological control of infectious diseases at the population level.

Introduction

Defensive symbiosis, where an organism confers protection to its host from a natural enemy such as a parasite or predator, is widespread in nature (reviewed in [Ford & King, 2016](#)). For example, ants have long been known to defend acacia trees from herbivores ([Belt, 1874](#)), and various bacteria have been shown to confer protection directly or indirectly against bacterial and fungal parasites across diverse host taxa, including insects ([Cariveau et al., 2014](#); [Oliver et al., 2003](#)), plants ([Arnold et al., 2003](#); [Herre et al.,](#)

[2007](#)), invertebrates ([Gil-Turnes & Fenical, 1992](#); [Gil-Turnes et al., 1989](#)), and vertebrates ([Heikkilä & Saris, 2003](#); [Lauer & Hernandez, 2015](#)). Protection can be conferred to hosts as resistance (preventing infection) or tolerance (increasing survival following infection) through a variety of mechanisms ([Troha & Ayres, 2022](#)), including through interactions with the host's immune system ([Ford et al., 2022](#)), interference competition through chemical defenses—for example, *Streptococcus pneumoniae* can produce hydrogen peroxide to displace *Staphylococcus aureus* in the nasopharynx ([Selva et al.,](#)

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2009)—and resource competition or priority effects (Hancock et al., 2011; Moreira et al., 2009). Defensive symbionts therefore have potential as agents of biocontrol, especially in the context of infectious diseases for therapeutic use (Bakken et al., 2011) or for population-level control (Utarini et al., 2021). The use of defensive symbionts should be approached with caution, however, as the nature and extent of protection conferred to their hosts is evolvable and they could alter both the ecological and evolutionary dynamics of hosts and parasites, potentially leading to unintended consequences.

Crucially, the protective relationship between a defensive symbiont and its host is not fixed; it may be context dependent, due to changes in the biotic or abiotic environment (Ashby & King, 2017; Chamberlain et al., 2014; González et al., 2021; King et al., 2016; Lin & Koskella, 2015; Rafaluk-Mohr et al., 2018; Rogalski et al., 2021), and it is subject to selection (King et al., 2016; Rafaluk-Mohr et al., 2022). For example, the removal of large herbivores can lead to the loss of acacia tree protection by ants (Palmer et al., 2008) and protective microbes such as *Enterococcus faecalis* reduce nematode fitness in the absence of *S. aureus* but can be experimentally evolved to rapidly increase protection of their hosts when *S. aureus* is present (King et al., 2016). An organism may therefore be parasitic to its host in isolation, but may be protective—and may evolve to be more or less protective—when another parasite is present (Ashby & King, 2017; Rafaluk-Mohr et al., 2018). Understanding evolution along the parasitism–mutualism continuum is therefore a key challenge for evolutionary biologists, especially in the context of the gut microbiome and infectious diseases. In particular, understanding the evolutionary robustness of host protection is particularly important when defensive symbionts are used as biocontrol agents, as their effectiveness will depend on both the initial impact on the parasite and the subsequent coevolutionary dynamics between-host protection and parasite virulence.

The evolution of parasite virulence has long been a focus of theoretical studies of host–parasite systems (S. Levin & Pimentel, 1981; R. Lenski & May, 1994; May & Anderson, 1983). Theoretical studies of host-associated communities have primarily focused on the effects of within- and between-host competition on the evolution of virulence (Alizon, 2013; Frank, 1992, 1996; Brown et al., 2002; R. May & Nowak, 1995). By comparison, few theoretical studies have explored microbial evolution in the context of defensive symbiosis. Ashby and King (2017) explore how host protection evolves in the presence of a nonevolving parasite population, showing that conferred tolerance and resistance could readily evolve under a wide range of conditions, potentially leading to symbiont diversification into a highly protective strain and one that conferred no protection. This model was extended by Rafaluk-Mohr et al. (2018) to explore symbiont coevolution with the host, showing that the host becomes more mutualistic toward the symbiont at intermediate levels of protection. Nelson and May (2017) investigate the evolution of symbionts along the full mutualism–parasitism continuum when there is a shared cost of virulence. They show that the community of symbionts maintains mutualisms and evolves lower virulence when the shared costs are sufficiently low, but higher virulence may evolve when shared costs are high. Nelson and May (2020) extend this model to show that if increased defense is evolved by one symbiont, it may facilitate the reduction of virulence in both symbionts present and, in some cases, cause pathogens to evolve toward mutualism. Together, these studies highlight the complex context-dependent nature of coevolution between mutualistic and parasitic symbionts. A key question, yet to be addressed by previous studies, is

how do defensive symbionts drive the evolution of virulence in obligate parasites? Furthermore, how does the evolution of virulence affect the evolution of host protection, and what are the consequences for the host population?

Here, we use a mathematical model to address these questions. We explore the (co)evolution of parasite virulence and host protection—specifically, tolerance—by a defensive symbiont. Although tolerance can take many forms (Rafaluk-Mohr et al., 2022), here we focus on mortality tolerance, whereby infected hosts that possess the defensive symbiont experience a lower mortality rate than infected hosts that do not possess the defensive symbiont. We first show how the introduction of a defensive symbiont always selects for greater parasite virulence and that the defensive symbiont can induce the parasite to diversify into high- and low-virulent strains. We then show how the shape of life-history trade-offs associated with host protection affect the outcome of symbiont–parasite coevolution and that this always results in a reduction in the host population size in the long term.

Materials and methods

Model

We consider a well-mixed population of hosts with two co-circulating microbes: an obligate parasite that increases host mortality and a defensive symbiont that may confer tolerance to infected hosts by reducing their disease-associated mortality rate. Hosts may exist in one of four states, where they harbor: no microbes (H), defensive symbionts only (D), parasitic microbes only (P), or both (B). New hosts are born at rate $\nu(N) = N(a - qN)$, where $N = H + D + P + B$ is the total number of hosts, a is the maximum per capita rate of reproduction, and q controls the strength of density-dependent competition among hosts. All hosts, regardless of infection status, have a natural mortality rate b .

We assume that transmission is density dependent, occurring at a baseline rate of β_D for the defensive microbe with a clearance rate of γ_D , and β_P for the parasite with a clearance rate of γ_P . There is no vertical transmission (all individuals begin life without either microbe), cotransmission does not occur (i.e., hosts must transition through one of the single-microbe classes to reach class B), and there is no long-lasting immunity. Both defensive and parasitic microbes increase the baseline mortality rate of the host, by α_D and α_P , respectively. We assume that the parasite experiences a power-law trade-off between transmission and virulence (the additional microbe-induced mortality) such that $\alpha_P(\beta_P) = \bar{\alpha}_P(1 + \beta_P^d)$ with $d > 1$ so that there are diminishing returns for increased virulence. Note that due to this positive correlation between parasite transmission and virulence, we will interchangeably refer to transmission and virulence evolution throughout. Defensive microbes may confer protection to parasitized hosts in the form of tolerance, $y \in [0, 1]$, such that the additional mortality rate for hosts with both microbes, $\alpha_B(y, \beta_P)$, satisfies $\alpha_B(y, \beta_P) \leq \alpha_P(\beta_P) + \alpha_D$ (i.e., it is less than or equal to the sum of the additional mortality rates). However, the defensive microbe incurs a fitness cost when it diverts resources to protect a host, resulting in a reduction in its transmissibility such that $\beta_D(y) = \hat{\beta}_D(1 - c(y))$, where $c(y)$ is an increasing, nonlinear cost function:

$$c(y) = \begin{cases} \frac{c_1(1-e^{-2y})}{1-e^{-2}}, & c_2 \neq 0, \\ c_1y, & c_2 = 0, \end{cases} \quad (1)$$

where $c_1 \in [0, 1]$ is the strength of the cost function, denoting the maximum reduction in transmission when tolerance is maximized at $y = 1$, and c_2 controls the shape of the trade-off: when

$c_2 > 0$, conferring protection is increasingly costly (an accelerating trade-off), and when $c_2 < 0$, conferring protection is decreasingly costly (a decelerating trade-off). Biologically, an accelerating (respectively, decelerating) trade-off corresponds to diminishing (increasing) returns, such that for a given increase in the cost, the amount of additional host protection decreases (increases) as it gets stronger. Thus, for accelerating trade-offs, there is a relatively small initial cost, but the costs become ever more extreme at higher levels of protection, whereas for decelerating trade-offs, there is a relatively large initial cost, but the additional costs become less extreme at higher levels of protection.

The ecological dynamics of monomorphic populations are shown schematically in Figure 1 and are governed by the following ordinary differential equations (ODEs)

$$\frac{dH}{dt} = \nu(N) - [b + \beta_D(y)(D + B) + \beta_P(P + B)]H + \gamma_D D + \gamma_P P, \quad (2)$$

$$\frac{dD}{dt} = \beta_D(y)H(D + B) - [b + \gamma_D + \alpha_D + \beta_P(P + B)]D + \gamma_P B, \quad (3)$$

$$\frac{dP}{dt} = \beta_P H(P + B) - [b + \gamma_P + \alpha_P(\beta_P) + \beta_D(y)(D + B)]P + \gamma_D B, \quad (4)$$

$$\frac{dB}{dt} = \beta_D(y)P(D + B) + \beta_P D(P + B) - [b + \alpha_B(y, \beta_P) + \gamma_D + \gamma_P]B. \quad (5)$$

Analysis

We employ evolutionary invasion analysis using a combination of numerical analysis and simulations to establish how parasite virulence (α_P) evolves following the introduction of the defensive symbiont and, in turn, how the defensive symbiont coevolves to be more or less protective (y) following its introduction.

We use the next-generation method (Diekmann et al., 2010; see Supplementary Material) to derive the invasion fitness for a rare defensive symbiont with protection y^m (denoted w_D), or a rare parasite with transmission rate β_P^m and virulence α_P^m (denoted w_P), when introduced into a population at equilibrium with resident traits $\theta^r = (y^r, \beta_P^r)$:

$$w_D(y^m | \theta^r) = \frac{\beta_D(y^m) \{H^* [b + \gamma_D + \gamma_P + \alpha_B(y^m, \beta_P^r) + \beta_P^r(P^* + B^*)] + P^* [b + \gamma_D + \gamma_P + \alpha_D + \beta_P^r(P^* + B^*)]\}}{(b + \gamma_D + \alpha_D + \beta_P^r(P^* + B^*))(b + \alpha_B(y^m, \beta_P^r) + \gamma_D + \gamma_P) - \gamma_D \beta_P^r(P^* + B^*)}. \quad (6)$$

$$w_P(\beta_P^m | \theta^r) = \frac{\beta_P^m \{H^* [b + \gamma_D + \gamma_P + \alpha_B(y^r, \beta_P^r) + \beta_D(y^r)(D^* + B^*)] + D^* [b + \gamma_D + \gamma_P + \alpha_P(\beta_P^m) + \beta_D(y^r)(D^* + B^*)]\}}{(b + \gamma_P + \alpha_P(\beta_P^m) + \beta_D(y^r)(D^* + B^*))(b + \alpha_B(y^r, \beta_P^r) + \gamma_D + \gamma_P) - \gamma_D \beta_D(y^r)(D^* + B^*)}. \quad (7)$$

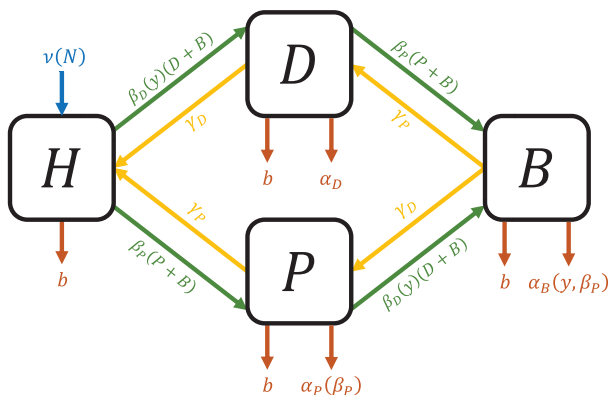


Figure 1. Model schematic. Arrows denote transitions into or out of states at the indicated rates: transmission (green), mortality (red), recovery/clearance (yellow), and birth of new hosts (blue). H are the hosts who have no microbe, D are the hosts that harbor only the defensive symbiont, P are the hosts with only the parasite, and B are hosts with both defensive symbiont and parasite.

where each of the steady states (indicated with asterisks) are functions of the resident traits, for example $H^* \equiv H^*(\theta^r)$. We are unable to obtain an analytical expression for these steady states, so we approximate them in our numerical analysis by simulating the ODE system for a sufficiently long period of time, so that the population approaches its unique, locally asymptotically stable, endemic equilibrium. We derive the respective fitness gradients, $\mathcal{F}_D(y) = \frac{\partial w_D}{\partial y^m} \Big|_{y^m=y}$ and $\mathcal{F}_P(\beta_P) = \frac{\partial w_P}{\partial \beta_P^m} \Big|_{\beta_P^m=\beta_P}$, from Equations 6 to 7 (omitted for brevity) and find singular strategies, y^* and β_P^* , by numerically solving $\mathcal{F}_D(y^*) = 0$ and $\mathcal{F}_P(\beta_P^*) = 0$. Singular strategies are evolutionarily stable if $\mathcal{E}_D(y^*) = \frac{\partial^2 w_D}{\partial (y^m)^2} \Big|_{y^m=y^*} < 0$ and $\mathcal{E}_P(\beta_P^*) = \frac{\partial^2 w_P}{\partial (\beta_P^m)^2} \Big|_{\beta_P^m=\beta_P^*} < 0$, respectively. For parasite evolution only, we determine convergence stability by numerically evaluating the derivative $\mathcal{C}_P(\beta_P^*) = \frac{\partial^2 w_P}{\partial \beta_P^m \partial \beta_P} \Big|_{\beta_P^m=\beta_P^*}$ and checking that $\mathcal{E}_P(\beta_P^*) < \mathcal{C}_P(\beta_P^*)$. In the case of coevolution, we assume equal mutation rates for defensive symbionts and parasites and determine strong convergence stability using the method presented in (Leimer, 2009; see Supplementary Material).

We assume that the defensive symbiont is introduced into a well-established host-parasite system, with the parasite at its unique continuously stable strategy in the absence of the defensive symbiont (see Supplementary Material), $\tilde{\beta}_P^*$, which is given by

$$\tilde{\beta}_P^* = \left(\frac{b + \gamma_P + \hat{\alpha}_P}{(d-1)\hat{\alpha}_P} \right)^{\frac{1}{d}}. \quad (8)$$

In addition to exploring the effects of the defensive symbiont on the (co)evolution of virulence and host protection, we measure the net effect on the host population size and change in the average host mortality rate (relative to the initial symbiont-free population). The net effect on the host population size is measured by comparing the steady state in the presence and absence of the defensive symbiont, $N^*(y, \beta_P)$ and \tilde{N}^* , respectively. Similarly, we calculate the average disease-associated mortality rate at equilibrium in the presence and absence of the defensive symbiont, $r^*(y, \beta_P)$ and \tilde{r}^* , respectively, as:

$$r^*(y, \beta_P) = \alpha_D \frac{D^*(y, \beta_P)}{N^*(y, \beta_P)} + \alpha_P(\beta_P) \frac{P^*(y, \beta_P)}{N^*(y, \beta_P)} + \alpha_C(y, \beta_P) \frac{B^*(y, \beta_P)}{N^*(y, \beta_P)}, \quad (9)$$

$$\tilde{r}^* = \tilde{\alpha}_P \frac{\tilde{P}^*}{\tilde{N}^*}, \quad (10)$$

where we have explicitly written the dependence of the trait variables on the steady-state values. Note that the values for \tilde{N}^* and \tilde{r}^* are constants calculated at the singular strategy for a parasite circulating in the absence of the defensive symbiont, where we begin all of our evolutionary simulations. We then define the following two measures to determine the net effects on the host population following the introduction of the defensive symbiont:

$$Q_1(y, \beta_P) = 100 \left(\frac{N^*(y, \beta_P)}{\tilde{N}^*} - 1 \right), \quad (11)$$

$$Q_2(y, \beta_P) = 100 \left(1 - \frac{r^*(y, \beta_P)}{\tilde{r}^*} \right). \quad (12)$$

The first measure (Equation 11) is the percentage increase in the host population size and the second measure (Equation 12) is the percentage decrease in the disease-associated mortality rate.

Simulations

The above analysis makes two key assumptions: (a) that there is a separation of the ecological and evolutionary time scales (i.e., mutations are rare) and (b) that selection is weak, so that mutations only have a small phenotypic effect (i.e., traits are continuous).

We relax these assumptions in our simulations by allowing new mutants to arise before the ecological dynamics are close to their ecological attractor and by discrediting the trait space so that new mutations have small but finite effects. Simulations proceed as follows (described for the coevolution case). We initialize a resident population which has a defensive symbiont protection level of y^r and a parasite transmission of β_p^* as defined in Equation 8. We simulate the ecological dynamics (1)–(4) for a total (arbitrary) time of $T_{eco} = 100$. We choose either the defensive symbiont or parasite population with equal probability and introduce a mutant at low frequency with trait value differing from the resident by a small amount, ϵ_D or ϵ_P . We then run the ecological dynamics again for another T_{eco} time units, remove any phenotypes that have dropped below a frequency of $\epsilon = 10^{-4}$ (this threshold is arbitrary) and then introduce a new mutant again, by firstly choosing the defensive symbiont or parasite with equal probability and then choosing a trait to mutate proportional to its frequency. This continues for a total of T_{evo} evolutionary time-steps.

Results

We begin by exploring how the introduction of a (nonevolving) defensive symbiont affects the quantitative and qualitative evolution of parasite virulence, before considering coevolution of both microbes.

Defensive symbionts that confer tolerance always select for increased virulence

The introduction of a nonevolving defensive symbiont, which confers a fixed level of tolerance to parasitized hosts, always leads to selection for higher parasite virulence (Figure 2A). This is because the defensive symbiont not only directly reduces virulence when present with the parasite (hence, reducing the cost to the parasite of elevated virulence), but also competes with the parasite for hosts (thus increasing selection for a higher transmission rate, and hence higher virulence) even when providing little to no protection. The latter effect is more subtle and is typically weaker but is evident when the defensive symbiont confers no protection to the host ($y = 0$), as the parasite still evolves increased virulence due to increased competition for hosts. The strength of the first effect depends on both the level (y) and cost (c_1) of conferred protection, which together determine how often the parasite shares a host with a symbiont (Figure 2C). When the cost to the defensive symbiont of conferring tolerance (c_1) is sufficiently low, greater host protection (y) always selects for higher parasite virulence because the parasite frequently shares hosts with the defensive symbiont, and so benefits from decreased realized virulence due to tolerance conferred to the host by the symbiont. However, when the cost of host protection is relatively high, fewer hosts harbor the defensive symbiont and so the parasite is less likely to benefit from conferred tolerance, resulting in evolved virulence peaking at an intermediate level of host protection (Figure 2A).

As the defensive symbiont confers tolerance to the host, higher evolved virulence does not necessarily imply that realized virulence will be higher. Yet, following the introduction of the defensive symbiont, there is always an increase in average realized virulence (i.e., the average level of virulence experienced by parasitized hosts, with or without the defensive symbiont; Figure 2B). Average realized virulence is markedly lower than the increase in evolved virulence (Figure 2A and B) due to the presence of the defensive symbiont, but hosts that do not possess the defensive symbiont will experience the full increase in virulence. Average realized virulence is minimized at an intermediate level of host

protection, where there are relatively more hosts harboring both microbes (Figure 2C), and at high levels of protection, there can be a sharp increase in average realized virulence due to a combination of strong selection for virulence (Figure 2C) and fewer hosts possessing the defensive symbiont (Figure 2C).

Defensive symbionts can drive parasite diversification

In addition to selecting for higher parasite virulence, the defensive symbiont can also drive diversification when tolerance is maximized or very close to being maximized ($y \approx 1$), causing the parasite to branch into two subpopulations (Figure 3). One of these subpopulations has a high level of virulence (and transmission) and is primarily found in hosts that also harbor the defensive symbiont, while the other evolves a much lower level of virulence and is primarily found in hosts that do not harbor the defensive symbiont (Figure 3Bii). Note that when tolerance is maximized at $y = 1$, parasite virulence is completely negated in hosts that possess defensive symbionts, but the two strains are maintained in the population due to their contrasting strategies in isolation (infecting fully susceptible hosts compared with those that harbor the defensive symbiont) and the frequency with which they co-occur with the defensive symbiont. Evolutionary branching in parasite virulence occurs when the strength of the cost to the defensive symbiont is within a relatively narrow range. When the costs of host protection are below this range, there is only runaway selection for virulence (Figure 3Ai), and when the costs are above this range, there may be runaway selection for virulence or a stable level of virulence may evolve (Figure 3Aiii).

Symbiont–parasite coevolution can be detrimental to the host population

We now allow the level of protection conferred by the defensive symbiont to coevolve with parasite virulence. The parasite, as before, is initialized to its stable level of virulence (Equation 8) in the absence of the defensive symbiont. We then introduce the defensive symbiont at different initial levels of protection to determine if coevolution results in (a) increased or decreased conferred protection and (b) a net cost or benefit to the host population.

We first determine the range of possible evolutionary outcomes for the defensive symbiont as the cost parameters associated with host protection vary (Figure 4). It is well-established that trade-off shapes determine qualitative evolutionary outcomes (Hoyle et al., 2008) and the range of outcomes in our model and when they occur is consistent with previous theory (Ashby & King, 2017). Under decelerating trade-offs ($c_2 < 0$), the defensive symbiont either maximizes or minimizes host protection (potentially depending on the initial level of protection; Figure 4), as a small increase from no protection ($y = 0$) is relatively costly, whereas changes at higher levels of protection are less costly. The defensive symbiont therefore either overcomes the initial cost and experiences runaway selection for maximal protection or experiences selection against host protection. When the costs of host protection accelerate ($c_2 > 0$), the defensive symbiont maximizes protection if the strength of the cost is sufficiently low, and evolves to either an intermediate level of protection or no protection if the strength of the cost is sufficiently high (Figure 4).

We now consider how virulence coevolves with host protection to determine the net effect on the host population following the introduction of the defensive symbiont (Figure 5). First, we find that while a defensive symbiont may initially increase the host population size, the host appears eventually to always suffer a

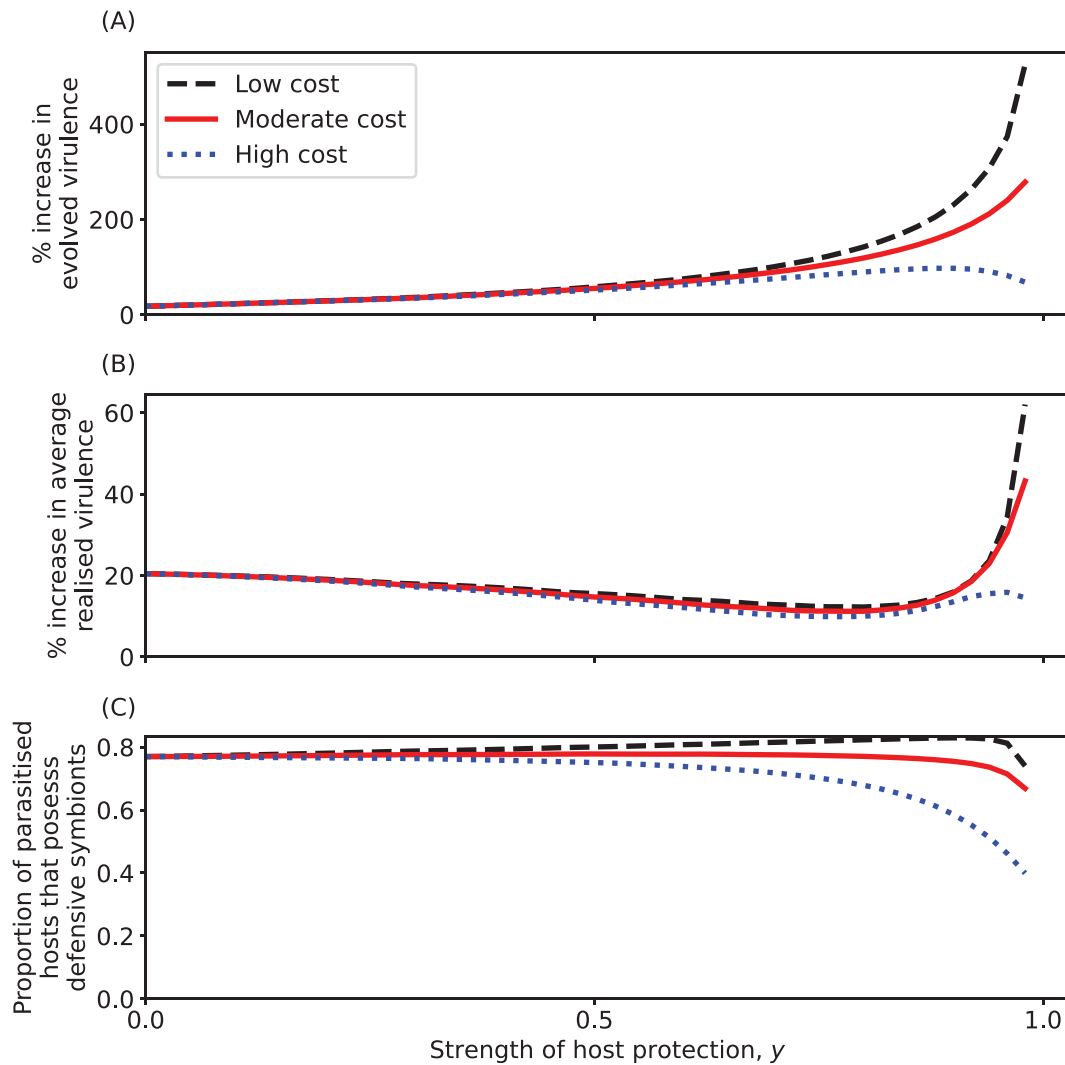


Figure 2. Evolution of parasite virulence following the introduction of the defensive symbiont. (A) Evolved virulence relative to the initial stable level of virulence in the absence of the defensive symbiont. (B) The percentage increase in average realised virulence compared with the absence of the defensive symbiont. (C) The proportion of parasitised hosts that possess defensive symbionts. The black dashed line corresponds to relatively low costs to the defensive symbiont of conferring protection ($c_1 = 0.2$), the red solid line to moderate costs ($c_1 = 0.5$), and the blue dotted line to relatively high costs ($c_1 = 0.8$). Values of strength of cost have been chosen to represent strengths of cost across the entire range $0 \leq c_1 \leq 1$. All other parameters are as in Table 1.

Table 1. Default parameter values for the models 1–4.

Parameter	Description	Default value
a	Maximum per-capita host birth rate	1.0
b	Host natural mortality rate	0.25
c_1	Defensive symbiont cost strength parameter	0.25
c_2	Defensive symbiont cost shape parameter	2
d	Power-law for parasite virulence cost	2
q	Strength of density-dependent competition on host reproduction	0.25
T_{eco}	Duration for ecological time steps	100
T_{evo}	Duration for evolutionary simulations	2000
α_D	Cost of harboring the defensive symbiont	0.1
$\hat{\alpha}_P$	Virulence of a parasite that cannot transmit	0.1
$\hat{\beta}_D$	Transmission rate of a defensive symbiont with no cost of protection	2
γ_D	Host recovery rate for defensive symbiont	0.05
γ_P	Host recovery rate for parasite	0.05
ϵ	Extinction threshold	10^{-4}

decrease in population size due to parasite–symbiont coevolution, regardless of the initial strength of protection (indicated by the terminus of each evolutionary trajectory residing in regions

with a negative percentage increase in host population size). This seems to occur for one or more of the following three reasons: (a) the symbiont may experience selection against tolerance,

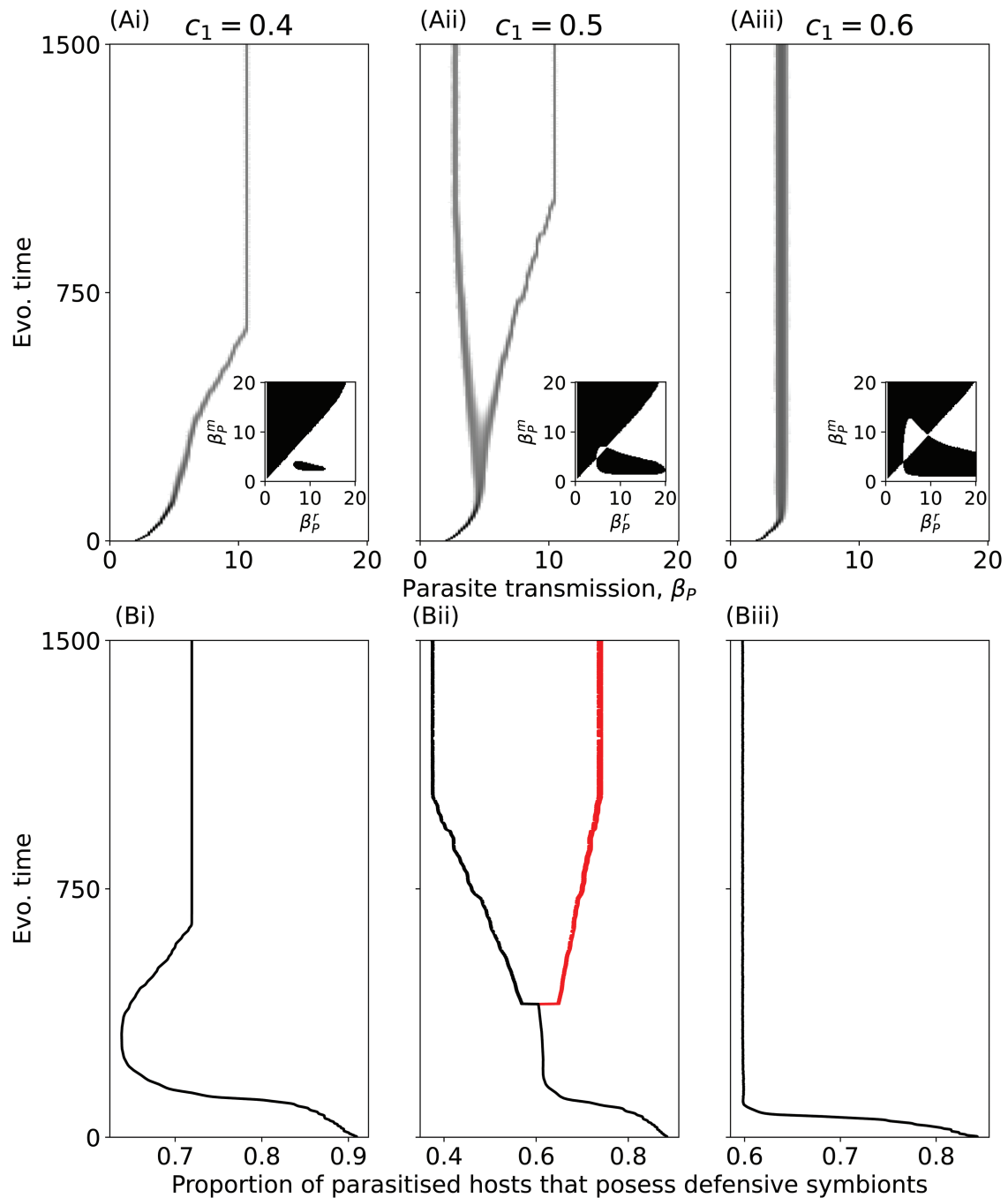


Figure 3. Parasite diversification driven by a defensive symbiont. (A) Evolutionary trajectories of parasite transmission with inset pairwise invasion plots (PIPs). Black regions in the PIPs show where the mutant can invade (where $w_P(\beta_P^m | (1, \beta_P^s)) > 1$), and white regions are where it cannot. (B) The proportion of parasitized hosts which also possess the defensive symbiont. For Bii, the red line (right branch) corresponds to the high-virulence strain, and the black line (left branch) corresponds to the low-virulence strain. Costs of host protection: (column i) $c_1 = 0.4$, (column ii) $c_1 = 0.5$, and (column iii) $c_1 = 0.6$. These values of cost strength represent the narrow range of values with a qualitative change in evolutionary behavior. All other parameters as in Table 1.

resulting in a reduction or even loss of host protection; (b) the defensive symbiont incurs a small cost to the host; and (c) while the defensive symbiont may confer tolerance to some hosts, the parasite subsequently experiences selection for higher virulence, and so hosts without the defensive symbiont experience higher virulence.

Although there is always eventually a net-negative effect on the host population size following parasite–symbiont coevolution, the same is not necessarily true for realized virulence (i.e.,

the average disease-associated mortality rate). In many cases, an initially positive effect on average realized virulence is followed by a long-term negative effect (as observed for the host population size measure above), but when the costs of protection are sufficiently strong and accelerate, there is a reduction in average realized virulence (Figure 5B).

When the costs of protection accelerate, the parasite and symbiont coevolve to co-continuously stable strategies (Figure 5A and B), but when the costs of protection decelerate, the outcome may

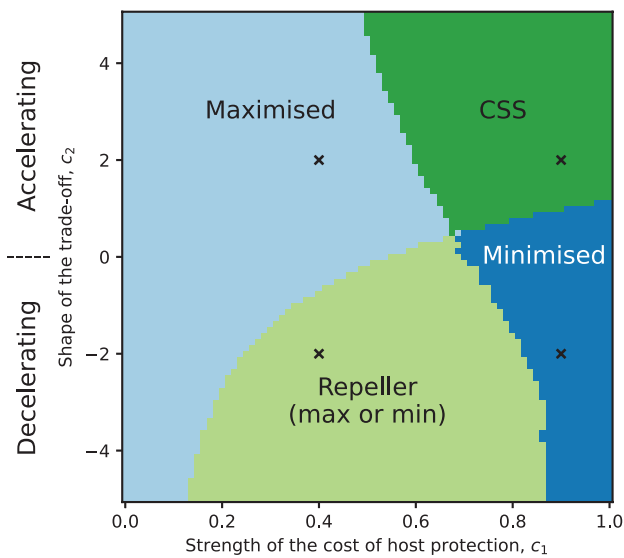


Figure 4. Classification of the coevolutionary outcome for the defensive symbiont as a function of the two cost function parameters: c_1 , the strength of cost ranging from 0 (no cost) to 1 (maximal cost), and c_2 , the shape of the trade-off with transmission: accelerating ($c_2 > 0$), linear ($c_2 = 0$), decelerating ($c_2 < 0$). The repeller region results in the defensive symbiont either maximizing or minimizing host protection depending on the initial level of protection. The continuously stable strategy region corresponds to a continuously stable strategy at an intermediate level of protection. The parasite is also evolving, but its evolutionary outcome is not shown as it always tends to a CSS. Black crosses correspond to the four parameter pairs used in Figure 5 to demonstrate the different qualitative behaviors. All other parameters as in Table 1.

depend on the initial conditions, with sufficiently low levels of initial protection leading to selection against any protection and a minor increase in parasite virulence (Figure 5C and D), and sufficiently high levels of initial protection leading to selection for maximal protection and high virulence (Figure 5C). Somewhat paradoxically, this means that the introduction of a highly protective symbiont can lead to a much larger negative effect on the host population than the introduction of a symbiont that confers only a low level of protection.

Discussion

Defensive symbionts are found throughout the natural world and are potentially important agents of biocontrol, yet the robustness of host protection and their eco-evolutionary impacts on parasite evolution are poorly understood. In this study, we have theoretically explored the (co)evolutionary dynamics of parasite virulence and host protection by a defensive symbiont in the form of tolerance. We have investigated the behavior of both the parasite and the defensive symbiont, as well as the net effect on the host population. We have shown that the parasite will always evolve to be more virulent following the introduction of a tolerance-conferring defensive symbiont, and (for every parameter combination tested) this always has a negative impact on the host population size even if the defensive symbiont evolves to confer maximum host protection. Furthermore, our model reveals that the defensive symbiont can cause diversification in the parasite population for sufficiently high levels of host protection, leading to the coexistence of low and high virulence phenotypes. Overall, our results suggest that the introduction of tolerance-conferring defensive symbionts is likely to lead to higher evolved and realized virulence, resulting in a net negative impact on the host population.

Higher virulence always evolves in our model because the defensive symbiont confers protection to the host by ameliorating the disease-associated mortality rate, which increases the average infectious period in coinfecting hosts—those harboring the defensive symbiont and parasite simultaneously. Although more virulent parasites experience a suboptimal level of virulence in hosts that do not harbor the defensive symbiont, this is more than offset by having a higher transmission rate in coinfections. Thus, the prevalence of the defensive symbiont, and hence the frequency of coinfections, plays a crucial role in determining the strength of selection for increased virulence. The fact that tolerance-conferring symbionts always select for higher virulence mirrors the literature on imperfect vaccination. Gandon et al. (2001) showed theoretically how partially effective vaccines that prevent or reduce disease (i.e., confer tolerance) but do not prevent transmission select for higher virulence, a prediction that has since been confirmed for Marek's disease in chickens (Read et al., 2015). Imperfectly vaccinated individuals are analogous to hosts who harbor the defensive symbiont in our model; in both cases, the host experiences lower virulence while still being able to transmit the infectious agent, weakening the evolutionary trade-off between transmission and virulence and shifting the balance of selection toward higher virulence. Note that in our model there is no explicit reduction in the pathogen growth rate due to tolerance (although this need not be the case in general) and there is no direct effect on the transmission rate. Instead, the defensive symbiont confers protection by reducing the negative effects of infection (akin to the "anti-toxin" resistance in Gandon et al., 2001). While we are not aware of any experimental studies that have explored the evolution of virulence in the presence of a tolerance-conferring symbiont, the strong parallels with imperfect vaccination suggest that such symbionts should indeed select for higher parasite virulence.

Although we found that the introduction of a tolerance-conferring defensive symbiont always selects for higher virulence, this is not necessarily the case for all symbionts. For example, Nelson and May (2017, 2020) have shown that when the shared costs of virulence are sufficiently low, communities of symbionts remain mutualistic and evolve lower virulence, and that in certain cases pathogens can evolve towards mutualism in these communities. There are several key differences between our model and those studied by Nelson and May (2017, 2020). In particular, Nelson and May consider shared (additive) costs of virulence, whereas in our model host protection directly (i.e. multiplicatively) reduces the virulence of the parasite. Furthermore, the mutualistic effects of our defensive symbiont are context dependent, as the benefits of protection are only realized in infected hosts, whereas the mutualistic effects observed by Nelson and May occur regardless of whether other symbionts are present. Our model therefore highlights the importance of context-dependent mutualisms and direct interactions between mutualists and parasites for the evolution of virulence.

Even if evolved virulence is higher in the presence of the defensive symbiont, the realized virulence experienced by hosts with the symbiont can be lower due to host protection. However, hosts without the defensive symbiont will experience increased virulence, and so the frequency of coinfections will determine the variance in the realized level of virulence experienced by parasitized hosts. While the net effect of the defensive symbiont on the host population size might initially be positive, we have shown that this is not evolutionary robust, either due to selection for higher parasite virulence (even if selection also favors higher

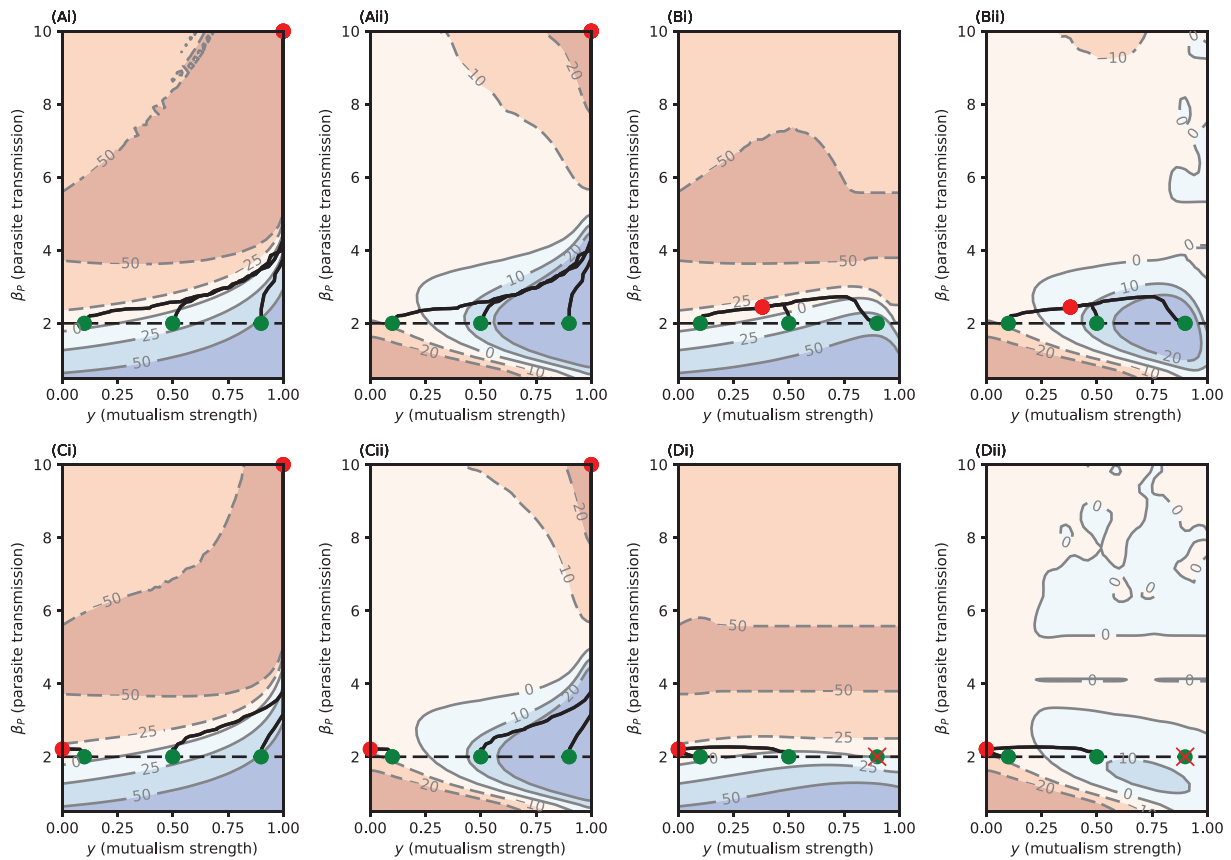


Figure 5. Heatmaps for the changes in population size and death rate (as given in Equations 11–12) for various mutualist cost functions. We show moderate cost ($c_1 = 0.4$) in the left column and strong cost ($c_1 = 0.9$) in the right, with accelerating cost ($c_2 = 2$) and decelerating cost ($c_2 = -2$) in the top and bottom rows, respectively. Colors and values on the contour plots denote percentage changes for a given trait space pair (y, β_P). The red on the background heatmap denotes regions where the measurement (either population size or death rate) is worse than while the parasite was circulating on its own, with blue denoting regions where it is better. Green dots are the initial value, solid black lines denote an evolutionary trajectory in trait space, and the red dots are the ends. The black dashed line is the CSS value for the parasite transmission when it is the only microbe in circulation. The values of c_1 and c_2 have been chosen to represent the four qualitative behaviors for the defensive symbiont that we have seen in Figure 4.

host protection by the defensive symbiont, as in Figure 5A), or due to selection against host protection (Figure 5C and D). However, if the goal is to reduce the average virulence experienced by infected hosts rather than to maximize host population size, then it is possible to achieve modest gains in host survival provided the cost of conferring host protection accelerates with greater host protection and the overall strength of costs are sufficiently high (Figure 5B).

Our results have critical implications for the use of defensive symbionts as biocontrol agents, with tolerance-conferring symbionts likely to be a poor choice for long-term infectious disease control at the population level. Moreover, our model demonstrates the need to investigate the possible evolutionary dynamics of both defensive symbionts and parasites when considering the use of biocontrols, as short-term ecological dynamics may be a poor predictor of long-term outcomes. Counterintuitively, our model reveals that under certain trade-offs (when costs of host protection have increasing returns, i.e., are decelerating), the introduction of a more protective defensive symbiont can lead to far worse outcomes for the host population in the long term than the introduction of a less protective symbiont (Figure 5C). Decelerating trade-offs often produce evolutionary repellers (e.g., Ashby & King, 2017) as they impose a high initial cost when investment is low (here, this selects against protection and leads to little change in virulence if the initial level of protection is

low), and a relatively low additional cost when investment is high (here, this selects for higher protection, and in turn, higher virulence, when the initial level of protection is sufficiently high). Due to the complex nature of eco-evolutionary feedbacks in these systems and the potential for unexpected evolutionary trajectories, we therefore urge caution in the use of tolerance-conferring symbionts.

Our final key result is that the defensive symbiont can drive parasite diversification into high- and low-virulence phenotypes. This occurs because the defensive symbiont adds an additional feedback on the parasite population, which allows the different phenotypes to specialize on hosts that either lack or possess the defensive symbiont. However, we found that the level of tolerance conferred by the symbiont must be very high for diversification to occur, which suggests that although this is theoretically possible, it is unlikely to be common in real populations. Nevertheless, the fact that a defensive symbiont can facilitate parasite diversification emphasizes the importance of considering community effects on host and parasite diversity, and this finding follows a general pattern in recent theoretical studies where the addition of a third species induces diversification in the host or parasite (Best, 2018; Hoyle et al., 2012; Kiski et al., 2013; Wood & Ashby, 2023). For example, the addition of a predator that differentially feeds on infected hosts has been shown to lead to diversification in host resistance (Hoyle et al., 2012) and parasite virulence (Best,

2018; Kisdí et al., 2013). Similarly, the introduction of a hyperparasite (a parasite of the parasite) can also cause diversification in parasite virulence (Wood & Ashby, 2023), which potentially presents problems for biocontrol, as with tolerance-conferring symbionts.

To date, few studies have experimentally explored the evolution of parasite virulence in the presence of defensive symbionts (King et al., 2016; Ford et al., 2017; G. May et al., 2022). May et al. (2022) have shown that when a plant host (*Zea mays*) is infected by a pathogenic fungus (*Ustilago maydis*), parasite fitness is maximized at higher levels of virulence in the presence of a defensive symbiont (*Fusarium verticillioides*), in agreement with our model. However, Ford et al. (2016) experimentally coevolved pathogenic *Staphylococcus aureus* and protective *Enterococcus faecalis* in *Caenorhabditis elegans* hosts, which led to a reduction in pathogen virulence; the same study system has also been shown to generate fluctuating selection dynamics in pathogen virulence and host protection (Ford et al., 2017). The contrast with our results is because *E. faecalis* confers protection through the production of antimicrobial superoxides, which directly inhibit *S. aureus* rather than conferring tolerance to the host. The stark contrast in evolutionary outcomes with our model emphasizes the importance of understanding the mechanism of host protection. Moreover, most studies of defensive symbionts focus on those that confer protection in terms of a reduced parasite load (e.g., due to interference competition; Hoang & King, 2022), and tolerance-conferring symbionts are understudied. Indeed, we are aware of only one study that explicitly shows defensive symbionts conferring tolerance to the host, with *Bacteroides fragilis* conferring tolerance by inducing the production of anti-inflammatory proteins against an experimental colitis caused by the bacterium *Heliobacter hepaticus* (Mazmanian et al., 2008).

Given that many defensive symbionts confer host protection through other mechanisms, including through upregulation of host immune responses (Ford et al., 2022), the eco-evolutionary implications of introducing different types of symbionts should be explored in future theoretical studies. Furthermore, we have implicitly modeled how the defensive symbiont and parasite interact at the within-host level, along with trade-offs between transmission and tolerance or virulence. This simplification makes the model much more tractable, but an important direction for future research is to explicitly model the within-host dynamics and couple these to between-host transmission. Coupling within- and between-host modeling has been shown to provide new insights than population-level modeling on its own cannot provide. Modeling at each level explicitly means that we can investigate conflicting selection, that is, where the most successful phenotype at one level is not necessarily the most successful at the other (van Baalen & Sabelis, 1995; Frank, 1996; B. R. Levin & Bull, 1994). The overall evolutionary outcomes will heavily depend on this conflicting selection that cannot be fully captured by simply modeling at the between-host level only. Another important consequence of explicit within-host modeling is providing insights into trade-offs and recovery rates. Several nested models have shown that any trade-offs depend heavily on the within-host dynamics (Alizon & van Baalen, 2005; André et al., 2003; Ganusov et al., 2002; Gilchrist & Coombs, 2006; Gilchrist & Sasaki, 2002), while recovery rates, which are traditionally considered to be constant and independent of other parameters, have been shown to be important for the evolution of virulence (André & Gandon, 2006; Ganusov & Antia, 2006). In the context of defensive symbiont–parasite dynamics, explicit within-host modeling will allow for a greater understanding of how a range of different

mechanisms, such as interference competition, resource competition, spite, priority effects and interactions with the host immune system impact on the evolution of virulence and host protection.

Overall, our model reveals how tolerance-conferring defensive symbionts typically have a net negative impact on the host population over the long term as they always select for higher parasite virulence and are therefore poor candidates for biocontrol.

Data availability

The Python code for the implementation of this model can be found at <https://github.com/CameronSmith50/Defensive-Symbiosis>.

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